

121603  
17707 U.S. PTO



031354 U.S. PTO  
10/7/37215  
121603

December 16, 2003

MAIL STOP PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Express Mail Receipt No. EL972877551US

Re: United States Patent Application for "SYSTEM, METHOD, AND COMPUTER PROGRAM PRODUCT FOR LIFECYCLE DIGITAL MATURITY ASSESSMENT"  
Docket No.: 05-03-001  
Our Ref: EDSC01-05025

Dear Sir:

Enclosed please find the following documents for filing:

- 1) Postcard receipt;
- 2) Certificate of Mailing by Express Mail;
- 3) Fee Transmittal for FY 2004 (in duplicate);
- 4) Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i);
- 5) Declaration and Power of Attorney;
- 6) Recordation Form Cover Sheet and Assignment;
- 7) Patent Application (23 pages); and,
- 8) Formal Drawings (2 Sheets).

FOR:	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE		-0-	\$770.00	\$770.00
TOTAL CLAIMS	4 - 20=	-0-	\$ 18.00	\$ 0.00
INDEP. CLAIMS	1 - 3=	-0-	\$ 86.00	\$ 0.00
MULTIPLE DEPENDENT CLAIM PRESENTED	-0-		\$280.00	\$ 0.00

TOTAL \$770.00

Please direct all correspondence to:

**USPTO CUSTOMER NO 34279**

Commissioner for Patents  
December 16, 2003  
Page 2

The Commissioner is hereby authorized to charge \$810.00 for the application filing fee (\$770.00) and the assignment recordal filing fee (\$40.00) as well as any additional fees which may be required, or credit any overpayment to EDS Deposit Account No. 05-0765.

If any problems arise in the filing of the enclosed documents, please contact Matthew S. Anderson at (972) 628-3600.

Very truly yours,

DAVIS MUNCK, P.C.



Matthew S. Anderson  
Registration No. 39,093

MSA/lmz  
Enclosures

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL

## for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 810.00)

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Thomas P. Pritchard, et al.
Examiner Name	
Art Unit	
Attorney Docket No.	05-03-001

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number	05-0765
Deposit Account Name	Electronic Data Systems

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee		770.00	
1002 340	2002 170	Design filing fee			
1003 530	2003 265	Plant filing fee			
1004 770	2004 385	Reissue filing fee			
1005 160	2005 80	Provisional filing fee			
SUBTOTAL (1)				(\$ 770.00)	

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
4	1		-20** = 0	x 18.00 = 0.00	
			- 3** = 0	x 86.00 = 0.00	

Large Entity	Small Entity	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$ 0.00)

\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	40.00
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

(Complete if applicable)

Name (Print/Type)	Matthew S. Anderson	Registration No. (Attorney/Agent)	39,093	Telephone	972-628-3600
Signature	Matthew S. Anderson				
	Date	December 16, 2003			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Thomas P. Pritchard, et al.
Title	SYSTEM, METHOD, AND COMPUTER PROGRAM PRODUCT FOR LIFECYCLE DIGITAL MATURITY ASSESSMENT
Attorney Docket Number	05-03-001

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

December 16, 2003

Date



Signature

972-628-3600

Telephone number

Matthew S. Anderson, Reg. No. 39,093

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

DOCKET NO.: 05-03-001  
CLIENT NO.: EDSC01-05025  
Customer No.: 34279

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : THOMAS P. PRITCHARD, ET AL.  
Application No. : Not yet assigned  
Filing Date : Not yet assigned  
Title : SYSTEM, METHOD, AND COMPUTER PROGRAM PRODUCT  
FOR LIFECYCLE DIGITAL MATURITY ASSESSMENT

**MAIL STOP PATENT APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

The undersigned hereby certifies that the following documents:

- 1) Postcard receipt;
- 2) Transmittal Letter (in duplicate);
- 3) Fee Transmittal for FY 2004 (in duplicate);
- 4) Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i);
- 5) Declaration and Power of Attorney;
- 6) Patent Application (23 pages);
- 7) Formal Drawings (2 sheets); and,
- 8) Recordation Form Cover Sheet and Assignment

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL972877551US, with the United States Postal Service, addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on December 16, 2003.

Date: 12/16/03

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